



APPLICATION FORM FOR REGISTRATION OF SYSTEMATIC INVESTMENT PLAN (SIP)

Distributor ARN	Sub Distributor ARN	Internal sub Code/Sol ID	Employee Code	EUIN	Serial No./Date, Time & Stamp
ARN-1678				E 087722	

"I/We, have invested in the scheme(s) of Quantum Mutual Fund under Direct Plan. I/We hereby give my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes of Quantum Mutual Fund, to the above mentioned SEBI Registered Investment Adviser."

EUIN Declaration I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (All sections to be filled in English and in BLOCK LETTERS). Fields marked with (*) are mandatory.

1. INVESTOR AND INVESTMENT DETAILS Please ✓ wherever applicable.

Sole/First Investor Name (as appearing in ID proof)
 PAN No. Folio No. (For Existing Investor)

2. INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option / Sub Option.

Sr. No.	Scheme Name	Plan	Option	Amount Invested (₹)	Frequency Details (Select any one frequency)
1.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th
2.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th
3.		<input type="checkbox"/> Direct <input type="checkbox"/> Regular	<input type="checkbox"/> Growth <input type="checkbox"/> IDCW (Payout) <input type="checkbox"/> IDCW (Reinvestment) #IDCW frequency _____		<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="radio"/> 5th, 21st OR <input type="radio"/> 7th & 25th <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="radio"/> 5th / <input type="radio"/> 7th / <input type="radio"/> 15th <input type="radio"/> 21st / <input type="radio"/> 25th / <input type="radio"/> 28th

3. Payment Details

Sr. No.	Scheme Name	Cheque Amount (₹)	Cheque / DDNo.	Bank Name	Account Number
1.					
2.					
3.					

4. SIP PERIOD

From To OR No. of installments _____

5. DECLARATION

I/We hereby, declare that the particulars given above are correct and express my willingness to make payments referred above through participation in National Automated Clearing House (NACH)/Auto Debit. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information I/We would not hold Quantum Mutual Fund/Quantum Asset Management Company Pvt. Ltd responsible. I/We will also inform Quantum Mutual Fund about any changes in my bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. This is to inform that I/We have registered for Auto Debit Facility and that my payment towards my investment in Quantum Mutual Fund shall be made from my/our bank account registered with Quantum Mutual Fund. I/We authorize Quantum Mutual Fund/Quantum Asset Management Company Pvt Ltd/representative of Quantum Asset Management Company Pvt Ltd carrying this Form to debit my bank account as per instructions given above.

First Account Holders Signature	Second Account Holders Signature	Third Account Holders Signature
---------------------------------	----------------------------------	---------------------------------



ACKNOWLEDGEMENT SLIP (To be filled in by the investor)

Application No: QMFP

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

Date Received from: Mr./Ms./M/s _____

An SIP application for purchase of units in _____ along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Collection Center's Stamp & Receipt Date and Time

Please note: All purchases are subject to realization of cheques (please refer Scheme Information Document)



SYSTEMATIC INVESTMENT FORM

TERMS & CONDITIONS

Systematic Investment Plan (SIP)

This mandate registration form will be submitted through National Automated Clearing House (NACH).

- This SIP facility is offered to investors having bank accounts in select banks mentioned in the link <http://www.npci.org.in/>. The banks in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
- Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted.
- The Unitholder(s) cheque/bank account details are subject to third party verification.
- SIP is offered on daily, weekly, fortnight, monthly and quarterly frequency.
- Investor/ Unit holders can opt to invest on any day in daily frequency, 7, 15, 21, 28 dates in weekly frequency, 5 & 21 OR 7 & 25 fortnight frequency and 5, 7, 15, 21, 25, 28 in monthly or quarterly frequency of each month/first month of the quarter.
- In case the frequency is not specified in the application/enrolment form, it will be deemed as an application for monthly frequency and will be processed accordingly. In case the SIP date is not specified or in case of ambiguity, the SIP transaction will be processed as of 15th of every month/first month of every quarter.
- In case the end date is not specified, the SIP will be registered for **40 years**.
- Minimum installments for daily will be 132 days and weekly 25, fortnight 13, monthly 6 and quarterly is 4 instalments.
- The units will be allotted to the investor at applicable NAV of the respective business day on which the investment is sought to be made as per the applicable cut-off timing subject to the funds available for utilization.
- The request for enrollment of SIP in the prescribed form should be received at any official point of acceptance / Investor service center at least 21 Calendar Days in advance before the execution / commencement date.
- The request for discontinuation of SIP in the prescribed form should be received at any official point of acceptance /Investor Service Center at least 10 calendar Days in advance before the execution / commencement date.
- In case of investments under SIP, if 3 consecutives for daily to monthly frequency and 2 consecutives for quarterly frequency payment instructions provided by the investor/unitholder are dishonored for either insufficiency of funds or as a result of a stop payment instruction issued by the investor/unitholder or any other reason as intimated by the bank, SIP will be discontinued.
- Quantum Mutual Fund will not be liable for any transaction failures due to rejection by the investors.
- bank/branch. The investor agrees to abide by the terms and conditions of NACH facility of NPCI.
- Investor will not hold Quantum Mutual Fund and its service providers responsible if the transaction is delayed or not effected by the Investor's Bank or if debited in advance or after the specific date due to various reasons or for any bank charges debited by his banker in his account towards NACH Registration/Cancellation/Rejections.
- Quantum Mutual Fund reserves the right to reverse allotments in case the Auto debit is rejected by the bank for any reason whatsoever.
- The facility will be automatically terminated upon receipt of intimation of death of the Unit holder.
- Investors are required to ensure adequate funds in their bank account on opted date. Quantum Mutual Fund will endeavor to debit the investor bank account on opted date, however if there is any delay all such transactions are debited subsequently.
- Quantum Mutual Fund/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through NACH.
- If any chosen day falls on a non-business day, the next business day will be considered as the transaction date.
- In case of investments in the name of a minor, no new transactions / standing instructions / SIP / STP / SWP or cancellation of such requests will be allowed by the guardian from the date of minor attaining majority till instruction from the major is received by the AMC/Mutual Fund along with the prescribed documents for change of account status from minor to major.

Additional for MICRO Systematic Investment Plans (MICRO SIPs)

As per SEBI guidelines, Lumpsum and SIP investments in mutual funds upto Rs. 50,000 per year, per investor, per mutual fund shall be exempted from the requirement of PAN subject to other operational guidelines. Any investment, lumpsum or through Systematic Investment Plans (SIPs) by investors, where aggregate of investments/instalments in a rolling 12-month period, does not exceed Rs. 50,000/- per investor will be treated as Micro investments for the above purpose. Micro investments will be accepted subject to PAN exemption in KYC process. This exemption will be applicable ONLY to investments by individuals (not NRI & PIOs). Minors and Sole proprietary firms, HUFs and other categories will not be eligible for Micro Investments. The exemption is applicable to joint holders also. In case under MICRO SIP, the first SIP Instalment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will cease for future instalments. No refund to be made for the units already allotted. However, redemptions will be allowed based on the submission of normal prescribed Redemption Transaction Slip. Investors may submit any one of the PHOTO IDENTIFICATION documents (please refer paragraph titled PAN under the General instructions) along with KYC form and proof of address (self-attested). No separate address proof is required if Photo ID with address mentioned on it is submitted. The photo identification documents have to be current and valid and also to be either self-attested. All the applicants including second and third applicant (if any) is mandated to submit any of the above documents.

Systematic Investment Plan for Corporate Employees: In this case, the concerned employer is required to forward to the AMC the Scheme application cum SIP registration mandate form of the corporate employee who desires to invest in the Scheme. The application amount for the corporate employees would also be forwarded by the employer on specific request from the concerned employee. The concerned employee has to authorize the employer to deduct the application amount from his salary and remit the same to the Fund at regular intervals to ensure receipt of funds by the AMC on or before the next due date.

Installment options available under SIP		Daily	Weekly	Fortnightly	Monthly	Quarterly
Quantum Long Term Equity Value Fund/Quantum ESG Best In Class Strategy Fund/Quantum Small Cap Fund/Quantum Equity Fund of Fund/Quantum Gold Savings Fund/Quantum Multi Asset Fund/Quantum Dynamic Bond Fund Quantum Nifty 50 ETF	Minimum SIP amount	₹100/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter
	Minimum SIP Installments	132	25	13	6	4
Quantum ELSS Tax Saver Fund	Minimum SIP amount	₹500/- and in multiples of ₹500/- thereafter	₹500/- and in multiples of ₹500/- thereafter	₹500/- and in multiples of ₹500/- thereafter	₹500/- and in multiples of ₹500/- thereafter	₹500/- and in multiples of ₹500/- thereafter
	Minimum SIP Installments	132	25	13	6	4
Quantum Liquid Fund	Minimum SIP amount	-	-	-	₹500/- and in multiples of ₹1/- thereafter	₹500/- and in multiples of ₹1/- thereafter
	Minimum SIP Installments	-	-	-	6	4

Note: Name of Quantum India ESG Equity Fund has been changed to Quantum ESG Best In Class Strategy Fund effective from 1st February, 2024.

ACKNOWLEDGEMENT SLIP (To be continued)

Application No: QMFP

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 400020. www.QuantumAMC.com

INVESTMENT DETAILS/ Payment Details (Please ✓) Choice of Scheme/Option/Facility

Separate cheque / demand draft must be issued for each investment, drawn in favour of respective scheme name. Please write appropriate scheme name as well as the Plan / Option /Sub Option.

Sr. No.	Scheme Name	Cheque Amount (₹)	Cheque / DDNo.	Bank Name	Account Number
1.					
2.					
3.					

ONE TIME MANDATE FORM



UMRN: Date:

Utility Code: (Office use only) Create: Modify: Cancel:

Sponsor Bank Code: (Office use only) I/We authorize: QUANTUM MUTUAL FUND

To debit (Tick ✓) SB/ CA/ CC/ SB-NRE / SB-NRO/ Other Bank A/C number:

With Bank: _____ IFSC/ MICR:

an amount of Rupees _____ (in words) ₹

Debit Type: Fixed Amount Maximum Amount Frequency: Mthly Qtly H-yrly Yrly As & when presented

Reference 1: Reference 2:

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instruction as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity / corporate or the bank where I have authorized the debit.

From Maximum period of validity of this mandate is 40 years only

To

Phone No. Signature of 1st Account Holder _____ Signature of 2nd Account Holder _____ Signature of 3rd Account Holder _____

1 _____ Name as in bank records 2 _____ Name as in bank records 3 _____ Name as in bank records



ADDITIONAL TRANSACTION AND SERVICE REQUEST SLIP

Folio No.	Scheme	Option/Facility
First Unit Holder Name		Advisor / RIA Name
Second Unit Holder Name		Advisor / RIA Code
Third Unit Holder Name		Sub Advisor Code
Mode of Holding		EUIN No.
Status		E-Code / RM Code

ADDITIONAL PURCHASE REQUEST **REDEMPTION REQUEST**

Investment Amount (Rs.) _____ I/We would like to redeem from the above mentioned Scheme/Option All Units OR No. Of Units _____
 Cheque No. _____ OR Amount (Rs.) (in figure) _____ Amount/units (in words) _____
 Dated Redemption Proceeds should not be Credited to my Default Bank A/C but be Credited to A/C No: _____
 Drawn on Bank _____ with _____ Bank which is already registered
 Branch & City _____ with Quantum Mutual Fund.

SWITCH REQUEST

I/We would like to switch All Units OR No. Of Units _____ OR Amount (Rs.) (in figure) _____
 Amount / Units (in words) _____ from the above mentioned Scheme
 to Scheme _____ Option _____

Change Mobile No. Old Mobile No.: _____ New Mobile No.: _____
 This Mobile No. belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

Change Email ID Old Email No.: _____ New Email No.: _____
 This Email ID belongs to (Mandatory Please ✓): Self Spouse Dependent Children Dependent Siblings Dependent Parents Guardian

I/We have read and understood the contents of the Statement of Additional Information/Scheme Information Document/Key Information Memorandum of the Scheme and Addenda issued till date. I/We have neither received nor been induced by any rebate or gifts, directly in making this transaction. I/We hereby apply to the Trustee of Quantum Mutual Fund for allotment of Unit(s) of Quantum Mutual Fund and agree to abide by the terms, conditions, rules and regulations of the relevant Scheme(s) (To be signed by all Unit Holders if mode of holding is Joint). "In case if there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency".

Signature(s) _____ Date Place _____

Sole / 1st Applicant / Authorised Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory
---	--------------------------------------	--------------------------------------



ACKNOWLEDGEMENT SLIP (To be filled in by the investor) **Application No: QMFP**

Quantum Mutual Fund - 1st Floor, Apeejay House, 3 Dinshaw Vachha Road, Backbay Reclamation, Churchgate, Mumbai - 4000201. www.QuantumAMC.com

Date Received from: Mr./Ms./M/s _____

An application for _____ under the Folio No.

Collection Center's Stamp & Receipt Date and Time